Curriculum Vitae Alexander Baumgartner

Current Position:

Assistant Professor Department of Neurology, Movement Disorders Section Department of Neurosurgery University of Colorado School of Medicine

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Education

2011	Bachelor of Arts in Mathematics, Biology, Gonzaga University, magna cum laude
2016	Doctor of Medicine. The Warren Alpert Medical School of Brown University

Postdoctoral Training:

2016 - 2017	Intern, Internal Medicine, Beth Israel Deaconess Medical Center / Harvard Medical School
2017 - 2020	Resident, Neurology, Beth Israel Deaconess Medical Center / Harvard Medical School
2020 - 2022	Fellow, Movement Disorders, University of Colorado School of Medicine

Academic Appointments:

2022 - present	Assistant Professor of Neurology, University of Colorado School of Medicine
2022 - present	Assistant Professor of Neurosurgery, University of Colorado School of Medicine

Hospital, Government, or Other Professional Positions:

2022 - present Attending Neurologist, UCHealth Hospital, Aurora, Colorado

Major Administrative Leadership Positions:

Local

2019 – 2020 Administrative Chief Resident in Neurology, Beth Israel Deaconess Medical Center / Harvard Medical School

Honors, Special Recognitions, and Awards:

- 2011 Honors Program, Gonzaga University
- 2012 Student Ambassador, Alpert Medical School, Brown University
- 2014 Excellence in Science Award, American Association for the Advancement of Science
- 2016 Dr. Stanley M. Aronson Prize for excellence in neuroscience, Alpert Medical School, Brown University
- 2016 Alpha Omega Alpha Medical Honor Society, Alpert Medical School, Brown University
- 2023 Best Preceptor, Advanced Neurosciences curriculum, University of Colorado School of Medicine

Membership in Professional Societies:

- 2013 2016 Physicians for a National Health Program
- 2014 2016 American Association for the Advancement of Science, Excellence in Science Program

2015 - present	American Academy of Neurology
2020 - present	International Parkinson and Movement Disorder Society
2021 - present	Society for Neuroscience

- 2023 present Deep Brain Stimulation Society
- 2023 present American Academy of Sleep Medicine
- 2023 present International Neuromodulation Society

Committee and Service Responsibilities:

Local

2023 – present	Nurse-APP-Physician-Staff Engagement (syNAPSE) Committee, University of Colorado
	Anschutz Medical Campus

Education of Patients and Service to the Community:

Activities

2013	Organized sports physicals at a local high school, where students who were unable to see a physician received physical exams to allow them to participate in sports	
2013 - 2014	Certified HIV and Hepatitis C testing and counseling official in the state of Rhode Island	
February 2021	"Alternative Therapies in Parkinson Disease" presented at the Parkinson Association of the Rockies, online support group	
March 2021	"Sleep and Fatigue in Parkinson Disease" presented at the Parkinson Association of the Rockies, online support group	
September 2021	"Psychosis and Cognition in Parkinson Disease" presented at the Parkinson Association of the Rockies, Glenwood Springs support group	
March 2022	"Deep Brain Stimulation for Parkinson Disease" presented at the Parkinson Association of the Rockies, Castle Rock support group	
August 2023	"Medication Overview and Research Update" presented at the Parkinson Association of the Rockies, Durango support group	
September 2023	"Psychosis and Cognition in Parkinson Disease" presented at the Parkinson Association of the Rockies, Steamboat Springs support group	
October 2023	"Sleep and Fatigue in Parkinson Disease" presented at the Parkinson Association of the Rockies, Summit County support group	
October 2023	"Medication Overview and Research Update" presented at the Parkinson Association of the Rockies, online support group	
August 2024	"Sleep and Fatigue in Parkinson Disease" presented at the Parkinson Association of the Rockies, online support group	

Licensure:

2016 - 2020	Massachusetts
2020 - present	Colorado
2023 - present	Wyoming

Certification:

2020 Neurology; American Board of Psychiatry and Neurology

Practice Activities:

2021 - present	Movement disorders clinic, UCHealth Hospital, Aurora, CO
2021 - present	Movement disorders clinic, UCHealth Lone Tree Medical Center, Lone Tree, CO
2022 - present	Intraoperative testing and monitoring during deep brain stimulator implantation

<u>Review and Referee Work:</u> Grant Review Activities:

2022 - present	Movement Disorders Center Pilot Grant Program grant reviewer, University of Colorado School of Medicine
May 2023	Colorado Clinical and Translational Sciences Institute, PreK Mock Study Section, University of Colorado School of Medicine
October 2024	Scientist Reviewer, Congressionally Directed Medical Research Program, Parkinson's Research Program, Department of Defense

Editorial Activities:

Ad hoc Reviewer

PLoS ONE (2021 – 2023) Neurosurgical Review (2021) Journal of Integrative Neuroscience (2022) Military Medical Research (2022) Brain and Behavior (2022) Journal of the Neurological Sciences (2023) Nature Communications (2023) Movement Disorders (2023) Sleep (2024) Sleep Advances (2024) Movement Disorders Clinical Practice (2024)

• Other Editorial Roles

Review Editor, Frontiers in Human Neuroscience, Brain Imaging and Stimulation section (2022 – present)

Local Invited Presentations:

Max 2021	"Noval modelities and techniques in the discussion of Darkinson discuss and etamical
May 2021	"Novel modalities and techniques in the diagnosis of Parkinson disease and atypical
	Parkinsonian syndromes", Department of Neurology Grand Rounds, University of
	Colorado School of Medicine
September 2021	"Medications and Therapies Overview" presented at University of Colorado's Annual
	Parkinson's Disease Symposium
October 2022	"Deep Brain Stimulation and Advanced Therapies for Parkinson Disease" presented at
	University of Colorado's Annual Parkinson's Disease Symposium
May 2024	"Overview of Movement Disorders" presented at the Brain 101 Course, sponsored by the
•	University of Colorado Department of Neurosurgery and the Neuroscience Innovation
	Initiative
August 2024	"Advanced Therapies for Parkinson Disease" presented at University of Colorado's
C	Annual Parkinson's Disease Symposium
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Teaching Record

Teaching of Students in Courses:

2013 - 2014	Doctoring (first year	clinical skills course), Alpert	Medical School, Brown Universit
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- 2018 2019 Mind, Brain, and Behavior (first year neuroanatomy course), Harvard Medical School
- 2022 present Advanced Neurosciences (third year neuroscience rotation), University of Colorado School of Medicine
- 2023 present Developing Our Clinical Skills (DOCS, first year clinical skills course), University of Colorado School of Medicine

Formal Teaching of Residents, Clinical Fellows and Research Fellows (post-docs):

Local and Regional

2013 Content tutor for first and second-year medical students, Alpert Medical School, Brown University

2019 - 2020	Gait Disturbances and Falls; Basic Concepts of Plasma Exchange; Introduction to the Neurologic Exam
	Lectures given to Neurology residents, Beth Israel Deaconess Medical Center Approximately 4 lectures per year
2019 - 2020	Clinical Evaluation Exercise Supervisor, a structured patient encounter as part of the Neurology clerkship, Beth Israel Deaconess Medical Center, Harvard Medical School Approximately 8 per year
2021 - 2022	Myoclonus; Dystonia; Wilson's Disease Lectures given to Neurology residents, University of Colorado School of Medicine Approximately 3 lectures per year
August 2022	Basics of Deep Brain Stimulation Lecture given to Movement Disorders fellows, University of Colorado School of Medicine
January 2023	Botulinum toxin injection workshop for Neurology residents, University of Colorado School of Medicine
February 2023	Sensing with DBS: LFPs and BrainSense Lecture given to Movement Disorders fellows, University of Colorado School of Medicine
July 2023	Basics of Deep Brain Stimulation Lecture given to Movement Disorders fellows, University of Colorado School of Medicine
October 2023	Introduction to DBS programming; Sensing with DBS: LFPs and BrainSense Lecture given to Movement Disorders fellows, University of Colorado School of Medicine
November 2023	Ask the Expert Neurology Resident Case Conference University of Colorado School of Medicine
November 2023	DBS Intraoperative Electrophysiology Lecture given to Movement Disorders fellows, University of Colorado School of Medicine
July 2024	Basics of Deep Brain Stimulation Lecture given to Movement Disorders fellows, University of Colorado School of Medicine
National and Inter	
November 2022	Stereotactic and Functional Neurosurgery Hands-On Workshop Faculty Instructor – DBS Programming, Troubleshooting American Society for Stereotactic and Functional Neurosurgery
November 2023	Stereotactic and Functional Neurosurgery Hands-On Workshop Faculty Instructor – DBS Programming, Troubleshooting
October 2024	American Society for Stereotactic and Functional Neurosurgery Stereotactic and Functional Neurosurgery Hands-On Workshop Faculty Instructor – DBS Programming, Troubleshooting American Society for Stereotactic and Functional Neurosurgery
Clinical Superviso	ory and Training Responsibilities:
2021 – present	Neurology resident continuity clinic preceptor, UCHealth Hospital, Aurora, CO; Approximately 4 clinics per year
2022 - present	Clinical teaching and supervision of movement disorders fellows, UCHealth Hospital, Aurora, CO; Three half-day clinics per month
2022 - present	Clinical teaching and supervision of neurology residents during movement disorders elective, UCHealth Hospital, Aurora, CO; One half-day clinic per month
Formally Mentore	ed Medical and Graduate Students:
2024 - present	Vinaykumar Tallavahjala, MD, Movement Disorders fellow
2022 – present	Lisa Hirt, PhD student, University of Colorado Anschutz Medical Campus; recipient of travel grant to attend 3 rd Joint Congress of the INS European Chapters (e-INS 2023)
	Woro George, medical student, University of Colorado Anschutz Medical Campus Matt Kennis, medical student, University of Colorado Anschutz Medical Campus

Other Mentored Trainees and Faculty:

2024 - present	Blake Beehler, MD, Movement Disorders fellow
2023 - present	Bridget Ollesch, MD, Movement Disorders fellow
2023 - present	Ondrea Timmerman, DO, Movement Disorders fellow
2022 - 2024	Brooke Heffernan, MD, Movement Disorders fellow
2022 - 2024	Matt Woodward, MD, Movement Disorders fellow
2022 - 2023	Heather Heiser, MD, Movement Disorders fellow
2022 - 2023	Antonia Pusso, MD, Movement Disorders fellow

Teaching and Education Innovations

2013 Alpert Medical School, Brown University; I designed and implemented a new learning module for incorporation into the medical school's cardiology curriculum.

Grant Support

Current

01/2024 - 12/2025	The impact of deep brain stimulation on naturalistic sleep electrophysiology in Parkinson disease
	NIH 1U24NS133991-01 (Bennett, Piquet, Benke PIs)
	Role: NeuroNEXT Research Grant recipient (total funding \$50,000)
	Major Goals: To assess the impact of deep brain stimulation on naturalistic sleep
	electrophysiology in Parkinson disease
01/2024 - 12/2025	Assessing the impact of subthalamic nucleus deep brain stimulation on naturalistic sleep
	in Parkinson disease
	University of Colorado Department of Neurology Intradepartmental Grant
	Role: Principal Investigator (total funding \$40,000)
	Major Goals: To assess the impact of subthalamic nucleus deep brain stimulation on
	naturalistic sleep in Parkinson disease
12/2022 - 11/2025	A Multi-center, Noninterventional Study Evaluating Variability, Reliability, and
	Compliance for the Parkinson's Disease Diary
	BlueRock Therapeutics, BRT-DA01-NIS-001
	Role: Site Principal Investigator (total funding \$30,000)
09/2022 - 06/2024	Electrophysiological biomarkers of parkinsonian symptoms progression
	Mowry Family Philanthropic Fund
	Role: Principal Investigator (total funding \$59,000)
	This project will examine the utility electrophysiological parameters recorded from
	surface electroencephalogram, called event-related potentials, as potential biomarkers of
	cognitive impairment and freezing of gait in patients with Parkinson disease
12/2023 - 06/2025	Double-blind, Randomized Controlled Trial to Assess Efficacy of Celeste Specialized Phototherapy in Treating Symptoms of Parkinson's Disease
	PhotoPharmics, Inc.
	Role: Site Principal Investigator
	This study seeks to evaluate the efficacy of Celeste by examining the difference between
	active and control treatment groups on the Parkinson's Disease Questionnaire-39 (PDQ-
	39) total score.
Past	
09/2020 - 08/2021	Sleep assessed by actigraphy and direct recordings of the subthalamic nucleus in
5,2020 00,2021	Parkinson's Disease
	University of Colorado Movement Disorders Center Pilot Grant

This project sought to obtain a robust assessment of sleep in patients with Parkinson's Disease using patient-worn actigraphy monitors in combination with recordings of local field potentials from subthalamic nucleus deep brain stimulators.

05/2022 – 04/2023 Naturalistic sleep assessed by wearable devices and direct recordings of the subthalamic nucleus in Parkinson's Disease Colorado Clinical and Translational Sciences Institute CO-Pilot Grant, CO-M-22-90 Role: Principal Investigator (total funding \$30,000) This project seeks to provide a robust evaluation of the effect of subthalamic nucleus deep brain stimulation on sleep dysfunction in patients with Parkinson disease. We are using a combination of wearable devices to obtain in-home assessments of sleep both before and after DBS implantation.

Bibliography

Papers Published in Peer-Reviewed Journals:

- 1. **Baumgartner A**, Coleman S, Swanson B. The cost of the sword: escape performance in male Swordtails. *PLoS ONE*. 2011; 6(1):e15837. doi:10.1371/journal.pone.0015837
- 2. **Baumgartner A**, Anthony D. The decline of the autopsy in Rhode Island and nationwide: Past trends and future directions. *R I Med J*. 2016; 99(10):36-38.
- 3. **Baumgartner A**, Quesenberry PJ. A unique neuropsychiatric syndrome in variant Hereditary Coproporphyria: Case report and review of the literature. *J Hematol*. 2017; 6(1):21-24. doi:10.14740/jh315w
- 4. **Baumgartner AJ**, Ahrendsen JT, Varma H, Ward A, Frank S. Cardiac angiosarcoma presenting as a solitary brain metastasis. *Neurol Clin Neurosci*. 2020; 8(6):426–427. doi:10.1111/ncn3.12439
- 5. Rossi KC, **Baumgartner AJ**, Goldenholz SR, Goldenholz DM. Recognizing and refuting the myth of tongue swallowing during a seizure. *Seizure*. 2020; 83:32–37. doi:10.1016/j.seizure.2020.09.023
- 6. **Baumgartner AJ**, Press DZ, Simon DK. The relationship between olfactory dysfunction and constipation in early Parkinson's disease. *Mov Disord*. 2021;36(3):781-782. doi:10.1002/mds.28483
- Baumgartner AJ, Kushida CA, Summers MO, Kern DS, Abosch A, Thompson JA. Basal ganglia local field potentials as a potential biomarker for sleep disturbance in Parkinson's disease. *Front Neurol*. 2021; 12:765203. doi:10.3389/fneur.2021.765203
- 8. Kern D, Korsmo M, **Baumgartner AJ**, Kramer D, Ojemann S, Case M, Holt-Becker AB, Raike R, Thompson JA. Methylphenidate effects on a clinically informative oscillatory signal within the subthalamic nucleus in Parkinson's disease during deep brain stimulation programming. *Brain Stimul.* 2022; 15(3):747-749. doi: 10.1016/j.brs.2022.04.019.
- 9. Baumgartner AJ, Thompson JA, Kern DS, Ojemann SG. Novel targets in deep brain stimulation for movement disorders. *Neurosurg Rev.* 2022; 45(4):2593-2613. doi: 10.1007/s10143-022-01770-y.
- Baumgartner AJ, Adkins M, Bainbridge J, Leehey MA. Acute akathisia following initiation of opicapone: A case report. *Clin Parkinsonism Relat Disord*. 2022; 7:100154, doi: 10.1016/j.prdoa.2022.100154.
- 11. Radcliffe EM, **Baumgartner AJ**, Kern DS, Al Borno M, Ojemann S, Kramer DR, Thompson JA. Oscillatory beta dynamics inform biomarker-driven treatment optimization for Parkinson's disease. *J Neurophysiol.* 2023;129(6):1492-1504. doi: 10.1152/jn.00055.2023.
- Memon AA, Edney BS, Baumgartner AJ, Gardner AJ, Catiul C, Irwin ZT, Joop A, Miocinovic S, Amara AW. Effects of deep brain stimulation on quantitative sleep electroencephalogram during nonrapid eye movement in Parkinson's disease. *Front Hum Neurosci*. 2023; 17, doi: 10.3389/fnhum.2023.1269864.
- Baker S, Fenstermacher E, Davis RA, Kern DS, Thompson JA, Felsen F, Baumgartner AJ. Ethical considerations in closed loop deep brain stimulation. *Deep Brain Stimulation*. 2023; 3:8-15. doi: 10.1016/j.jdbs.2023.11.001.

 Baker SK., Radcliffe EM, Kramer DR, Ojemann S, Case M, Zarns C, Holt-Becker A, Raike RS, Baumgartner AJ, Kern DS, Thompson JA. Comparison of beta peak detection algorithms for datadriven deep brain stimulation programming strategies in Parkinson's disease. *npj Parkinsons Dis*. 2024;10(150). doi.org/10.1038/s41531-024-00762-7

Professional Educational Materials or Reports, in Print or Other Media:

1. Reznickova N, Buslovich S, Seeberger L, Overstreet N, **Baumgartner A**, Korsmo M, Smith D. Parkinson's Disease & Psychosis in the Post-Acute and Long-Term Care Setting. AMDA – The Society for Post-Acute and Long-Term Care Medicine. Clinical Practice Pocket Guide Series. June 2021.

Abstracts, Poster Presentations and Exhibits Presented at Professional Meetings:

Competitive

- Baumgartner AJ, Hirt L, Kern DS, Thompson JA. Naturalistic sleep in Parkinson's disease assessed by actigraphy and direct recordings of the subthalamic nucleus. Poster presented at: Neuroscience 2021. 50th Annual Meeting of the Society for Neuroscience. 2021 November 8-11.
- 2. Thompson JA, Ojemann SG, Kramer DR, Hirt L, Radcliffe E, Case M, Zarns C, Holt-Becker A, Raike R, **Baumgartner AJ**, Kern DS. Pilot study to investigate the use of monopolar sensing to improve the efficiency of DBS programming in Parkinson's disease. Poster presented at: International Congress of Parkinson's Disease and Movement Disorders. 2023 August 29; Copenhagen, DK.
- Thompson JA, Hirt L, Kern DS, Baumgartner AJ. Characterization of at-home sleep macrostructure in Parkinson disease using a wearable sleep monitoring device. Poster presented at: International Congress of Parkinson's Disease and Movement Disorders. 2023 August 28; Copenhagen, DK.
- Hirt L, Kern DS, Thompson JA, Baumgartner AJ. The association between sleep quality and subthalamic nucleus volume of tissue activation in Parkinson's disease. Poster presented at: 3rd Joint Congress of the International Neuromodulation Society European Chapters (e-INS 2023). 2023 September 1; Hamburg, DE.
- Baker S, Radcliffe EM, Kramer DR, Ojemann S, Case M, Zarns C, Holt-Becker A, Raike RS, Baumgartner AJ, Kern DS, Thompson JA. Towards an objective beta frequency peak detection algorithm to inform DBS programming in Parkinson's disease. Poster presented at: North American Neuromodulation Society Annual Meeting (NANS 2024). 2024 January 19; Las Vegas, NV.
- Kern D, Radcliffe E, Ojemann S, Kramer D, Case M, Zarns C, Holt-Becker A, Raike RS, Baumgartner AJ, Thompson JA. Comparison of monopolar and bipolar sensing of beta frequency in Parkinson's disease of the subthalamic nucleus for deep brain stimulation. Poster presented at: International Congress of Parkinson's Disease and Movement Disorders. 2024 September 27; Philadelphia, PA.
- Thompson JA, Radcliffe E, Ojemann S, Kramer D, Case M, Zarns C, Holt-Becker A, Raike RS, Baumgartner AJ, Kern DS. Monopolar sensing improves the efficiency of DBS programming Parkinson's disease. Poster presented at: International Congress of Parkinson's Disease and Movement Disorders. 2024 September 27; Philadelphia, PA.

Non-competitive

- 1. **Baumgartner AJ**, Roberts TJ. Dissecting the determinants of active and passive force enhancement in skeletal muscle. Poster presented at: Brown University Annual Research Symposium; 2013 December 4-5; Providence, RI.
- 2. Hirt L, **Baumgartner AJ**, Kern DS, Kramer D, Ojemann SG, Thompson JA. Targeted versus actual stimulated areas in deep brain stimulation surgery for essential tremor. Poster presented at: University of Colorado Neurosurgery Research Symposium. 2022 May 3; Aurora, CO.